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Serial No.: 09/911,367

Applicant(s): Landis, et al.

Filed: July 23, 2001

Title: DIAZAPHOSPHACYCLES

Date Mailed: April 19, 2002

- Information Disclosure Statement (duplicate)
- Form PTO-1449
- Cited Documents
- Copy of International Search Report

DOCKET NO.: 032026-0594



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PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Clark R. Landis Date: April 19, 2002
Wiechang Jin
Jonathan S. Owen Docket No.: 032026:0594
Thomas P. Clark Group Art Unit: 1625

Serial No.: 09/911,367

Filed: July 23, 2001

For: **DIAZAPHOSPHACYCLES**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: U.S. Commissioner of Patents and Trademarks, Washington, D.C. 20231 on April 19, 2002.

Bernard P. Friedrichsen
(Name of applicant, assignee
or Registered Representative)



(Signature)

April 19, 2002
(Date of Signature)

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

U.S. Commissioner of Patents and Trademarks
Washington, D.C. 20231

Dear Sir:

Submitted herewith on Form PTO-1449 and presented below is a listing of documents known to Applicants in order to comply with Applicants' duty of disclosure pursuant to 37 C.F.R. § 1.56. A copy of each listed document is being submitted to comply with the provisions of 37 C.F.R. §§ 1.97 and 1.98.

FOREIGN PATENTS

Patent No.
WO 91/17988

Publication Date
November 28, 1991

Country
PCT

OTHER DOCUMENTS

Wilhelm, H., et al., "Zur Reaktion Von Phosphoryl- Und Thiophosphorylisothiocyanaten Mit Phosphanen-Die Kristallstruktur Des $(C_6H_5O)_2P(O)-NH-C(S)P(C_6H_5)_2$," *Phosphorus, Sulfur, and Silicon*, Vol. 73, pp. 81-91 (1992), published by Gordon Breach Science Publishers S. A., United States.

Ben-Arroyo, B., et al., "Addition of borane-protected secondary phosphines to imines. A route to protected mono-N-substituted- α -aminophosphines," *Tetrahedron Letters*, Vol. 41, pp. 6143-6147 (2000), published by Pergamon Press Ltd., Oxford, Great Britain.

Landis, C. R. et al., "Rapid Access to Diverse Arrays of Chiral 3,4-Diazaphospholanes," *Angew. Chem. Int. Ed.*, Vol. 40, pp. 3432-3434 (2001), published by Wiley-VCH Verlag GmbH, Weinheim, Germany.

REMARKS

The submission of any document herewith, which is not a statutory bar, is not intended as an admission that such document constitutes prior art against the claims of the present application or that this document is considered material to patentability as defined in 37 C.F.R. §1.56. Patentee does not waive any right to take any action which would be appropriate to antedate or otherwise remove as a competent reference any document which is determined to be a *prima facie* prior art reference against the claims of the present application.

No Office Action on the merits has yet been received by Applicants. Therefore, this Supplemental Information Disclosure Statement is submitted in compliance with 37 C.F.R. § 1.97 (b)(3). Therefore, Applicants believe that no fee is due for this filing. If an Office on the merits has been mailed to Applicants, then this Supplemental Information Disclosure Statement is submitted in compliance with 37 C.F.R. § 1.97(c)(2), and the Commissioner is authorized to charge the fee set forth in 37 C.F.R. § 1.17(p) to Deposit Account No. 06-1447. Applicants do not believe any other fees are due for this filing. However, the Commissioner is authorized to charge any fee due for this filing to Deposit Account No. 06-1447. For purposes of charging said Deposit Account, a duplicate copy of this Statement is enclosed.

The cited documents were cited in the International Search Report mailed April 3, 2002, by the European Patent Office on a Patent Cooperation Treaty application that corresponds to the above-referenced application. A copy of the Search Report is enclosed. The other documents cited in the International Search Report were previously submitted to the Patent and Trademark Office in an Information Disclosure Statement filed on December 5, 2001. It is thus requested that these documents be considered during examination of the above-referenced application and be specifically made of record therein.

Respectfully submitted,

Date

April 19, 2002

By

Bernard P. Friedrichsen

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FORM PTO 1449 (modified)
U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE
LIST OF REFERENCES CITED BY APPLICANT(S)
(Use several sheets if necessary)
Date Submitted to PTO: April 19, 2002

ATTY DOCKET NO.
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SERIAL NO.
09/911 367

APPLICANTS

Clark R. Landis, et al.

FILING DATE

July 23, 2001

GROUP

1625

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES/NO/ OR ABSTRACT
		WO 91/17988	November 28, 1991	PCT			

OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER

DATE CONSIDERED

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.